

# Chapter 7: Time Complexity

# Time complexity

Let  $M$  be a deterministic Turing machine that halts on all inputs. The running time or *time complexity* of  $M$  is the function  $f: N \rightarrow N$ , where  $f(n)$  is the maximum number of steps that  $M$  uses on any input of length  $n$ . If  $f(n)$  is the running time of  $M$ , we say that

- $M$  runs in time  $f(n)$
- $M$  is an  $f(n)$  time Turing machine

# Big-O notation

- Let  $f$  and  $g$  be functions  $f, g: \mathbb{N} \rightarrow \mathbb{R}^+$ .  
 $f(n) = O(g(n))$  if positive integers  $c$  and  $n_0$  exist such that for every integer  $n \geq n_0$   
$$f(n) \leq cg(n)$$
  
 $g(n)$  is an asymptotic upper bound for  $f(n)$ .

# Small-o notation

- Let  $f$  and  $g$  be functions  $f, g: \mathbb{N} \rightarrow \mathbb{R}^+$ .  
 $f(n) = o(g(n))$  if for every positive integer  $c$ ,  
 $n_0$  exists such that for every integer  $n \geq n_0$   
$$f(n) < cg(n)$$

## Time complexity class

$\text{TIME}(t(n)) = \{L \mid \exists \text{ TM } M \text{ s.t.}$

1)  $L(M) = L$ , and

2)  $\forall$  input  $w$ ,  $M$  halts on  $w$  in  $O(t(n))$  steps (language  $L$  is decided by an  $O(t(n))$  time Turing machine)}

# The class **P**

**P** is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine. In other words,

$$P = \bigcup_k \text{TIME}(n^k)$$

Example 1: A language known to  
be in **P**

$\text{CONN} = \{ \langle G \rangle \mid G \text{ is a} \\ \text{connected graph} \}$

Example 2: A language known to  
be in **P**

$\text{PATH} = \{ \langle G, s, t \rangle \mid G \text{ is a graph,} \\ \text{and } s, t \text{ are two vertices. There is} \\ \text{a path from } s \text{ to } t. \}$

# PATH is in P

A polynomial time algorithm  $M$  for PATH operates as follows:

$M$  = “On input  $\langle G, s, t \rangle$ , where  $G$  is a directed graph with nodes  $s$  and  $t$ :

1. Place a mark on node  $s$ .
2. Repeat the following until no additional nodes are marked:
3.     Scan all the edges of  $G$ . If an edge  $(a, b)$  is found going from a marked node  $a$  to an unmarked node  $b$ , mark node  $b$ .
4. If  $t$  is marked, *accept*. Otherwise, *reject*.”

Example 3: A language known  
to be in **P**

Every context-free language is in P

# Every CFL is in P

Let  $G$  be a CFG in CNF generating the CFL  $L$ . Assume that  $S$  is the start variable. A polynomial time algorithm  $D$  works as follows:

$D =$  “ On input  $w = w_1 \dots w_n$ :

1. If  $w = \varepsilon$  and  $S \rightarrow \varepsilon$  is a rule, accept.
2. For  $i = 1$  to  $n$ :
3.   For each variable  $A$ :
4.     Test whether  $A \rightarrow b$  is a rule, where  $b = w_i$ .
5.     If so, place  $A$  in table  $(i, i)$ .
6. For  $l = 2$  to  $n$ :
7.   For  $i = 1$  to  $n-l+1$ :
8.     Let  $j = i+l-1$ ,
9.     For  $k = i$  to  $j-1$ :
10.     For each rule  $A \rightarrow BC$ :
11.       If table  $(i, k)$  contains  $B$  and table  $(k+1, j)$  contains  $C$ , put  $A$  in table  $(i, j)$ .
12. If  $S$  is in table  $(1, n)$ , *accept*. Otherwise, *reject*. “

# Verifier

A **verifier** for a language  $A$  is an algorithm  $V$ , where

$A = \{w \mid V \text{ accepts } \langle w, c \rangle \text{ for some string } c\}.$

The time of a verifier is measured in terms of the length of  $w$ :  $|w|$ .

A **polynomial time verifier** runs in a polynomial time in the length of  $w$ . A language  $A$  is **polynomially verifiable** if it has a polynomial time verifier.

# The class NP, definition

**NP** is the class of languages that have polynomial time verifiers.

HAMPATH =  $\{ \langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t \}$  is in NP

Proof: the following is a NTM that decides HAMPATH problem in nondeterministic polynomial time.

HAMPATH= $\{ \langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t \}$  is in NP

N="On input  $\langle G, s, t \rangle$ , where  $G$  is a directed graph with nodes  $s$  and  $t$ :

1. Write a list of  $m$  numbers,  $p_1, \dots, p_m$ , where  $m$  is the number of nodes in  $G$ . Each number in the list is nondeterministically selected to be between 1 and  $m$ .
2. Check for repetitions in the list. If any are found, reject.
3. Check whether  $s = p_1$  and  $t = p_m$ . If either fail, reject.
4. For each  $i$  between 1 and  $m-1$ , check whether  $(p_i, p_{i+1})$  is an edge of  $G$ . If any are not, reject. Otherwise, all tests have been passed, so accept."

# Theorem

Language  $L$  is in NP iff it is decided by some nondeterministic polynomial Time Turing machine.

# Proof

( $\longrightarrow$ ) Let  $A \in \text{NP}$  and  $A$  is decided by a polynomial time NTM  $N$ . Let  $V$  be the polynomial time verifier for  $A$  that exists by the definition of NP. Assume that  $V$  is a TM that runs in time  $n^k$  and construct  $N$  as follows:

$N =$  “On input  $w$  of length  $n$ :

1. Nondeterministically select string  $c$  of length at most  $n^k$
2. Run  $V$  on input  $(w, c)$ .
3. If  $V$  accepts, accept; otherwise, reject.

# Proof

(  $\longleftarrow$  ) Assume that  $A$  is decided by a polynomial time NTM  $N$  and constructs a polynomial time verifier  $V$  as follows:

$N =$  “On input  $\langle w, c \rangle$ , where  $w$  and  $c$  are strings:

1. Simulate  $N$  on input  $w$ , treating each symbol of  $c$  as a description of the nondeterministic choice to make at each step.
2. If this branch of  $N$ 's computation accepts, accept; otherwise, reject.”

# Time classes: **NTIME**(f)

**NTIME**(t(n)) = {L | L is a language  
decided by an  $O(t(n))$  time  
nondeterministic Turing machine}

# The class **NP**

$$\text{NP} = \bigcup_k \text{NTIME}(n^k)$$

# Languages in NP

CLIQUE, SUBSET-SUM,  
SAT, 3SAT, FACTOR, ISO,  
VERTEX-COVER

$SAT = \{\phi: \phi \text{ is a boolean formula that has a satisfying assignment}\}$

Polynomial verifier: guess assignment to variables, plug into  $\phi$ , then verify

FACTOR =  $\{(k, N): N \text{ has a non-trivial factor less than } k\}$

Polynomial verifier:

- guess  $1 < d < k$
- divide  $d$  by  $N$
- determine whether the remainder is 0

# Polynomial time computable function

- A function  $f$  is a polynomial time computable function if some polynomial time Turing machine  $M$  exists that halts with just  $f(w)$  on its tape, when started on any input  $w$ .

# Polynomial-time Reduction

A function  $\mathbf{f} : \Sigma^* \rightarrow \Sigma^*$  is a polynomial-time reduction from language  $L_1$  to language  $L_2$ ,  
 $L_1 \leq_p L_2$ , iff

- $\mathbf{f}$  is a polynomial time computable function.
- for all  $w$ ,

$$w \in L_1 \iff \mathbf{f}(w) \in L_2$$

Theorem: If  $A \leq_p B$  and  $B \in P$ , then  
 $A \in P$

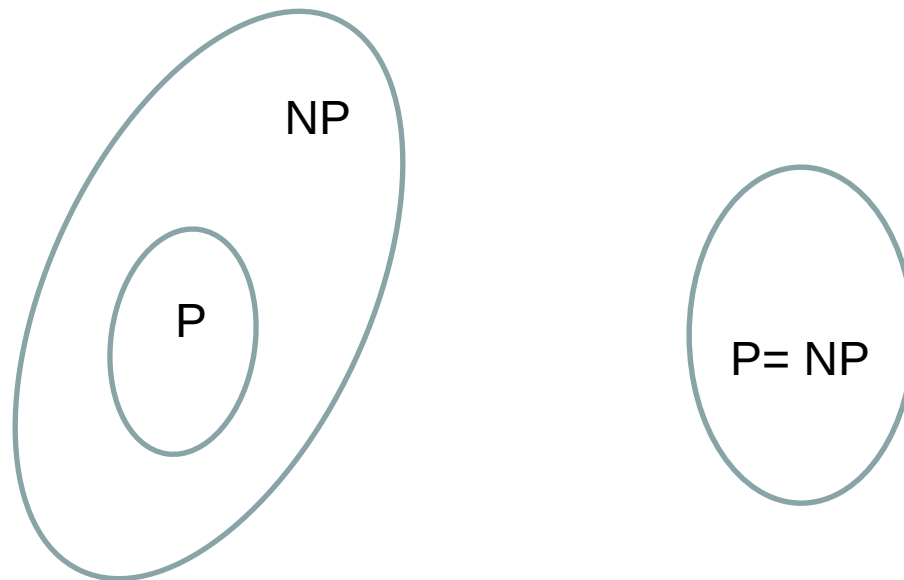
Proof:

Let  $M$  be the polynomial time algorithm deciding  $B$  and  $f$  be the polynomial time reduction from  $A$  to  $B$ . We describe a polynomial time algorithm  $N$  that decides  $A$  as follows.

$N =$  “On input  $w$ :

1. Compute  $f(w)$ .
2. Run  $M$  on input  $f(w)$  and output whatever  $M$  outputs.”

# P vs. NP



# NP-hard

A language  $L$  is NP-hard  
iff

$$\forall A \in \text{NP}, A \leq_p L$$

# NP-complete

A language  $L$  is NP-complete  
iff

1)  $L \in \text{NP}$

2)  $L$  is NP-hard

# The Cook-Levin Theorem: SAT is NP-complete

$\text{SAT} \in P \text{ iff } P = NP$

# 3-SAT

$$\begin{aligned} 3\text{-SAT} = \{ & \phi(x_1, x_2 \dots x_n) \mid \phi \in \text{SAT}, \\ & \phi = C_1 \text{ AND } C_2 \text{ AND } \dots C_n \\ & \text{where } C_i = \alpha_{i1} \text{ OR } \alpha_{i2} \text{ OR } \alpha_{i3}, \\ & \text{and } \forall i, j \exists k: \alpha_{ij} = x_k \text{ or } \alpha_{ij} = \underline{x_k} \} \end{aligned}$$

Conjunctive Normal Form

# 3-SAT is NP-complete

Proof:

1) **3-SAT**  $\in$  **NP** (by guessing the assignment to variables

and verifying that  $\phi(x_1, x_2 \dots x_n) = 1$ )

2)  $\forall L, L \in \mathbf{NP} \quad L \leq_p \mathbf{3-SAT}$

# Vertex Cover

Given graph  $G = (V, E)$ , a subset  $V_1$  of vertices is a vertex cover if each edge in  $E$  is adjacent to at least one vertex in  $V_1$

Vertex-Cover =  $\{(G, k) \mid G \text{ has a vertex cover of size at most } k\}$

# Directed Hamiltonian Path

**Directed\_HP** =  $\{(G,s,t) \mid G \text{ is a directed graph with a path that starts at vertex } s, \text{ ends at vertex } t, \text{ and visits every vertex of } G \text{ exactly once}$

# CLIQUE

**CLIQUE** =  $\{(G, k) \mid \exists S, S \subseteq V_G, \text{ s.t. } |S| = k, \text{ and } S \text{ is fully connected inside } G\}$

# SUBSET\_SUM

**SUBSET\_SUM** =  $\{(A, k) \mid A \text{ is a set (list) of integers, s.t. } \exists B: B \subseteq A \text{ where } \sum_{w \in B} w = k\}$